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# Distality Rank

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2020 North American Annual Meeting of the ASL  
Special Session on Model Theory



# Understanding Unstable NIP Theories

- Distality was introduced as a concept in first-order model theory by Pierre Simon in 2013.



# Understanding Unstable NIP Theories

- Distality was introduced as a concept in first-order model theory by Pierre Simon in 2013.
- It was motivated as an attempt to better understand unstable NIP theories by studying their stable and “purely unstable,” or *distal*, parts separately. This decomposition is particularly easy to see for algebraically closed valued fields:

**Stable Part:** Residue field

**Distal Part:** Value group



# Distal NIP Theories

Distality quickly became interesting and useful in its own right, and much progress has been made in recent years studying distal NIP theories. Such a theory exhibits **no stable behavior** since it is dominated by its order-like component.

## Examples:

- o-minimal theories
- $p$ -adics
- certain expansions of o-minimal theories (Hieronymi, Nell 2017)
- the asymptotic couple of the field of logarithmic transseries (Gehret, Kaplan 2018)



# Combinatorial Results

Many classical combinatorial results can be improved when study is restricted to objects definable in distal NIP structures.

- Cutting Lemma (Chernikov, Galvan, Starchenko 2018)
  - “ We believe that distal structures provide the most general natural setting for investigating questions in ‘generalized incidence combinatorics.’ ”
- $(p, q)$ -Theorem (Boxall, Kestner 2018)
- Szemerédi Regularity Lemma (Chernikov, Starchenko 2018)
  - ▶ Polynomial bound on partition size
  - ▶ Homogeneity

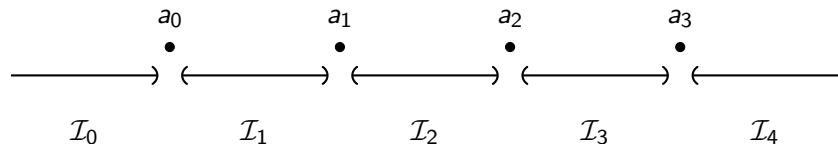


# *m*-Distality



## 1-Distality in Pictures...

A Dedekind partition  $\mathcal{I} = \mathcal{I}_0 + \mathcal{I}_1 + \cdots + \mathcal{I}_4$  is **1-distal** iff: for all  $A = (a_0, a_1, a_2, a_3)$ , if each **singleton** from  $A$  inserts indiscernibly...



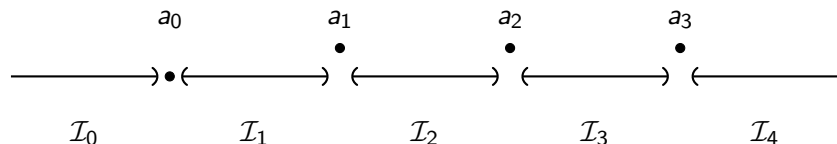
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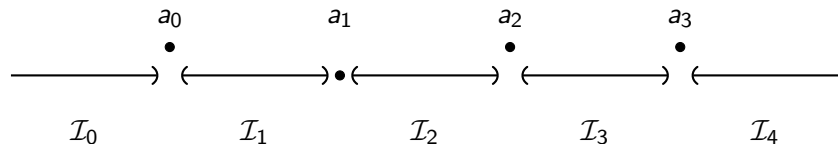


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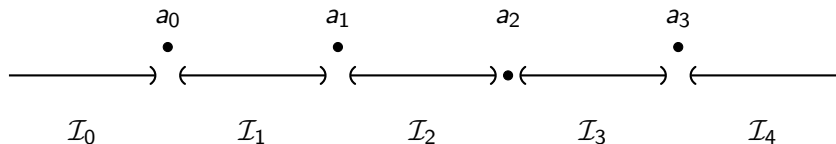


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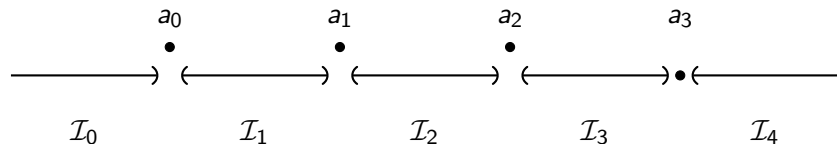


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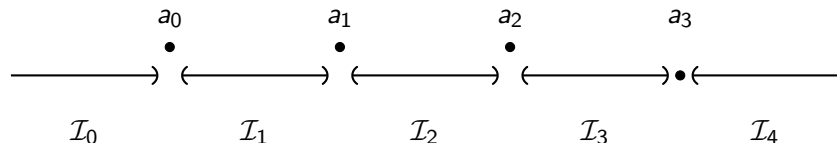


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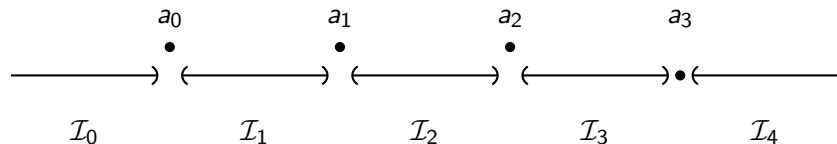


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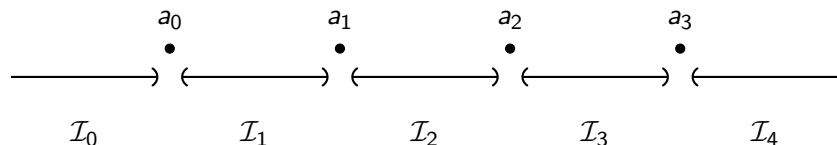


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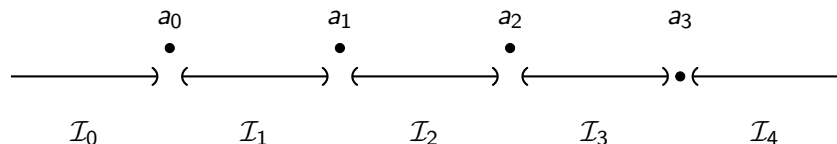


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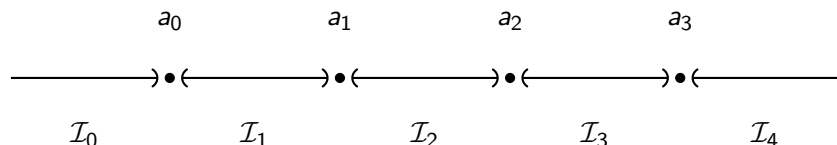


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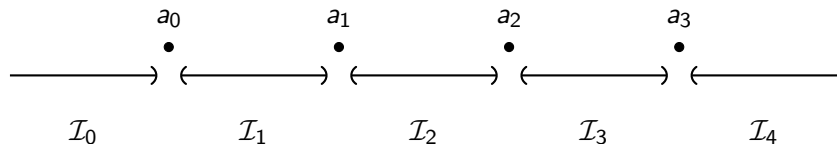


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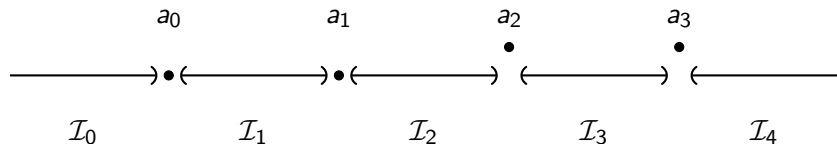
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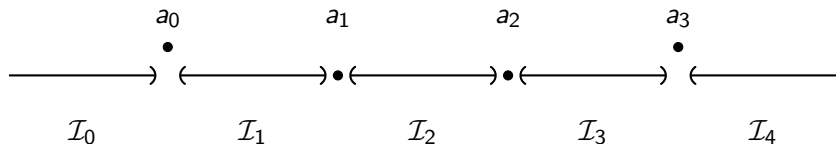


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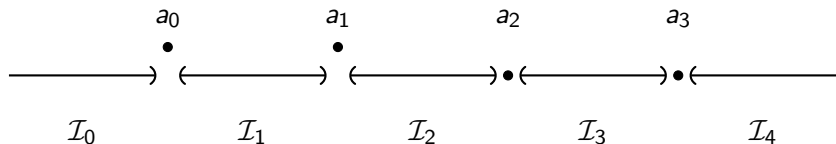


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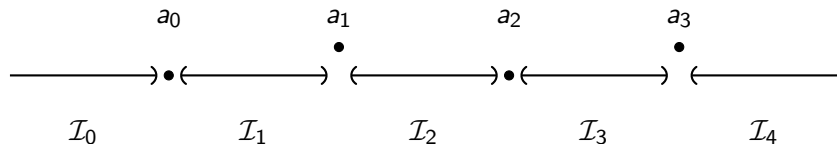


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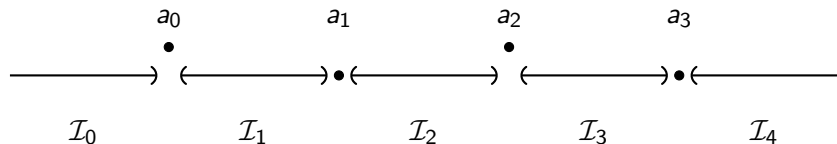


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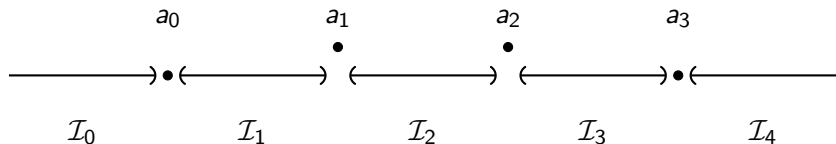


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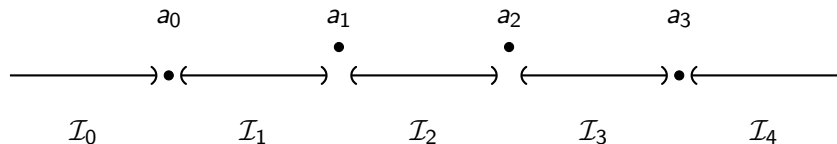


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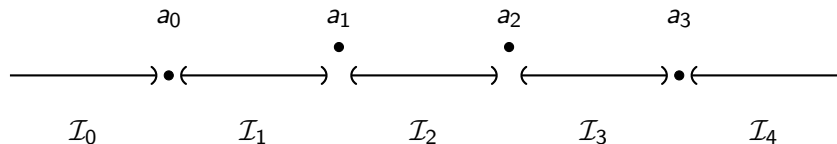


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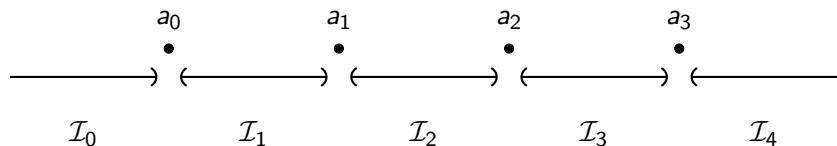


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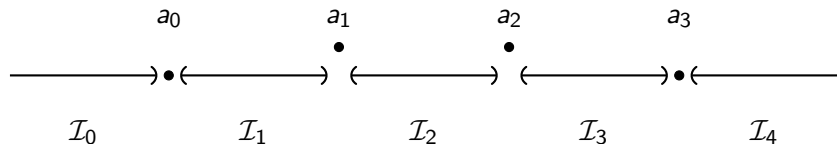
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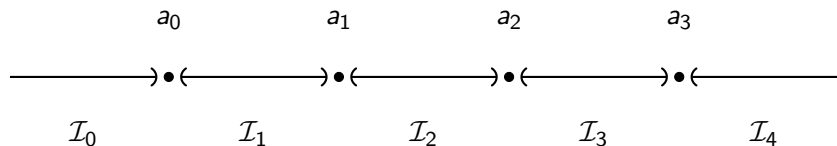


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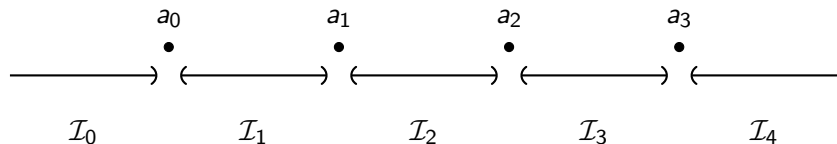


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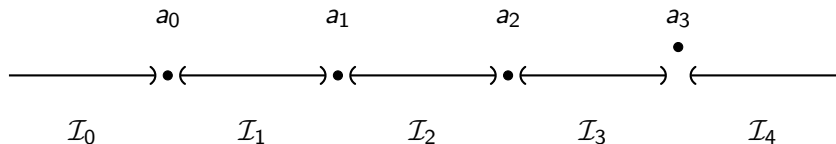


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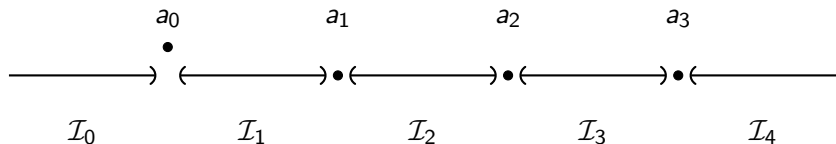


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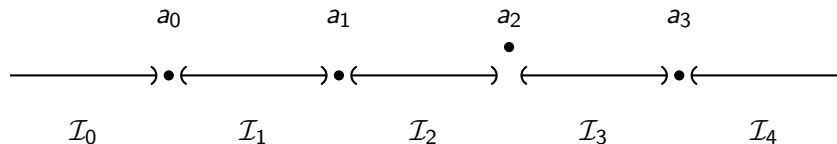


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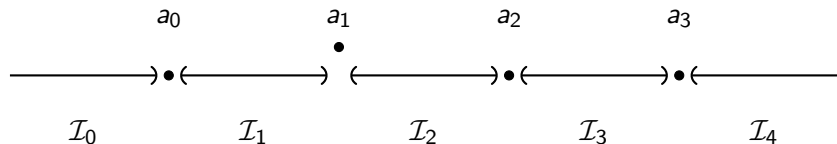


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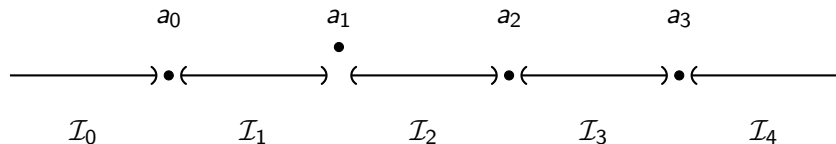


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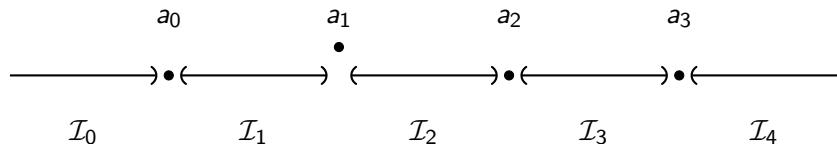


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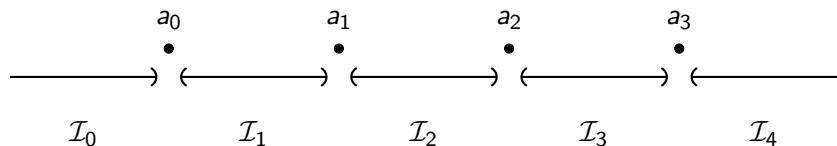


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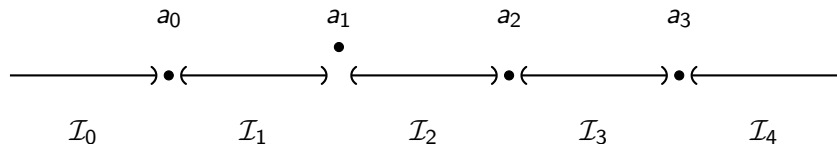
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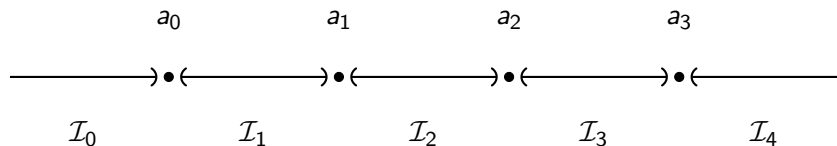


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# $m$ -Distality

Let  $n > m > 0$ .

## Definition

We say a Dedekind partition  $\mathcal{I} = \mathcal{I}_0 + \cdots + \mathcal{I}_n$  is  **$m$ -distal** iff: for all sets  $A = (a_0, \dots, a_{n-1}) \subseteq U$ , if  $A$  does not insert indiscernibly into  $\mathcal{I}$ , then some  $m$ -element subset of  $A$  does not insert indiscernibly into  $\mathcal{I}$ .



## $m$ -Distality for EM-types

Let  $n > m > 0$ .

### Definition

A complete EM-type  $\Gamma$  is  $(n, m)$ -**distal** iff: every Dedekind partition  $\mathcal{I}_0 + \cdots + \mathcal{I}_n \models^{\text{EM}} \Gamma$  is  $m$ -distal.

### Lemma

*If  $\Gamma$  is  $(m + 1, m)$ -distal, then  $\Gamma$  is  $(n, m)$ -distal for all  $n > m$ .*

**Proof:** Induction on  $n$ . ■



# $m$ -Distality for EM-types

## Definition

A complete EM-type  $\Gamma$  is  *$m$ -distal* iff: it is  $(m + 1, m)$ -distal.

## Theorem

Suppose  $T$  is NIP. A complete EM-type  $\Gamma$  is  $m$ -distal if and only if there is an  $m$ -distal Dedekind partition  $\mathcal{I}_0 + \cdots + \mathcal{I}_{m+1} \models^{\text{EM}} \Gamma$ .



# Distality Rank for EM-Types

**Observation:** If a complete EM-type  $\Gamma$  is  $m$ -distal, then it is also  $n$ -distal for all  $n > m$ .

## Definition

The *distality rank* of a complete EM-type  $\Gamma$ , written  $DR(\Gamma)$ , is the least  $m \geq 1$  such that  $\Gamma$  is  $m$ -distal. If no such finite  $m$  exists, we say the distality rank of  $\Gamma$  is  $\omega$ .



# Distality Rank for Theories

Let  $m > 0$ .

## Definition

A theory  $T$ , not necessarily complete, is  *$m$ -distal* iff: for all completions of  $T$  and all tuple sizes  $\kappa$ , every  $\Gamma \in S^{\text{EM}}(\kappa \cdot \omega)$  is  $m$ -distal.

In the existing literature, a theory is called distal if and only if it is 1-distal.

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## Finding Examples...

### Proposition

*Suppose  $\mathcal{L}$  is a language where all function symbols are unary and all relation symbols have arity at most  $m \geq 2$ . If  $T$  is an  $\mathcal{L}$ -theory with quantifier elimination, then  $\text{DR}(T) \leq m$ .*



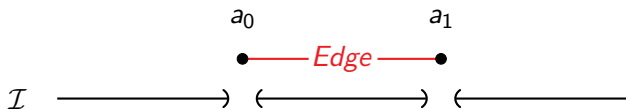
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This corollary helps us find examples by putting an upper bound on distality rank:

- The theory of the random graph has distality rank 2.





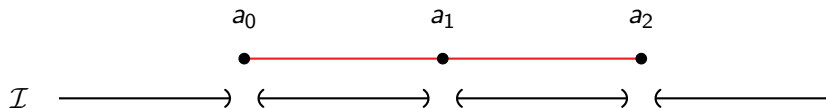
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- The theory of the random 3-hypergraph has distality rank 3.



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- The theory of the random graph has distality rank 2.
  - The theory of the random 3-hypergraph has distality rank 3.
- This generalizes, so...**
- The theory of the random  $m$ -(hyper)graph has distality rank  $m$ .



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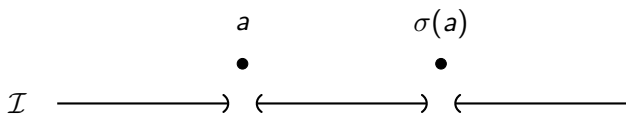
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*Suppose  $\mathcal{L}$  is a language where all function symbols are unary and all relation symbols have arity at most  $m \geq 2$ . If  $T$  is an  $\mathcal{L}$ -theory with quantifier elimination, then  $\text{DR}(T) \leq m$ .*

This corollary helps us find examples by putting an upper bound on distality rank:

- The theory of the random  $m$ -(hyper)graph has distality rank  $m$ .
- The theories of  $(\mathbb{N}, \sigma, 0)$  and  $(\mathbb{Z}, \sigma)$ , where  $\sigma : x \mapsto x + 1$ , have distality rank 2.

We can not apply the proposition to groups...



For example, if  $T$  is the complete theory of a **strongly minimal group**, then  $\text{DR}(T) = \omega$ :

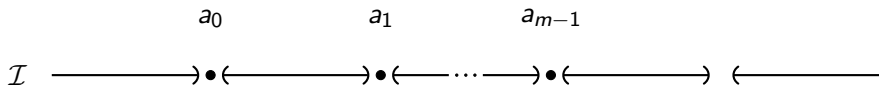


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For example, if  $T$  is the complete theory of a **strongly minimal group**, then  $\text{DR}(T) = \omega$ :

Let  $\mathcal{I}a_0 \cdots a_{m-1}$  be an algebraically independent set.



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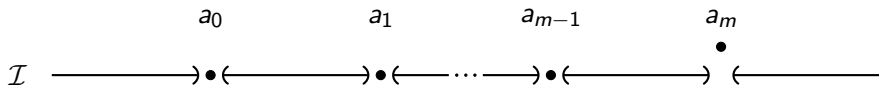




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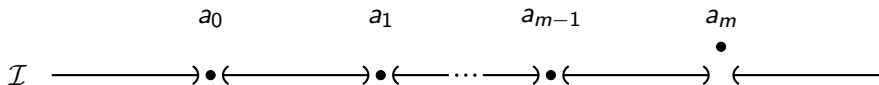


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Now we can insert any  $m$  elements of  $A$  without breaking indiscernibility...



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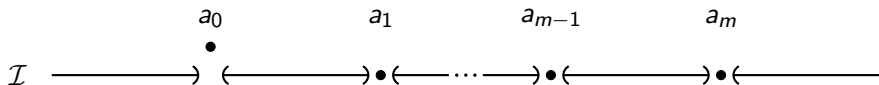


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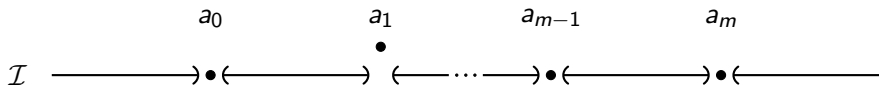


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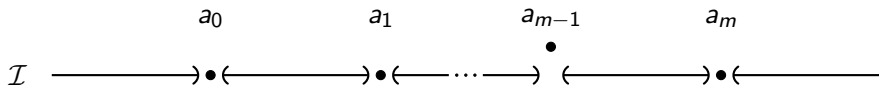


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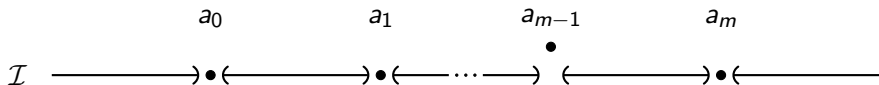


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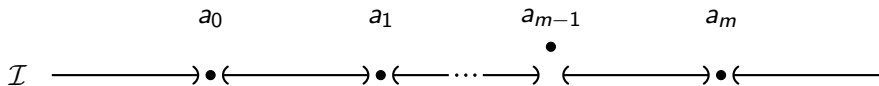


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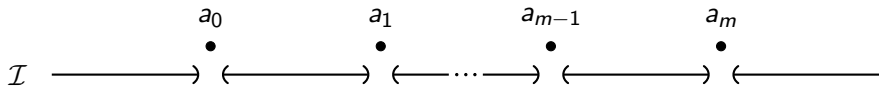
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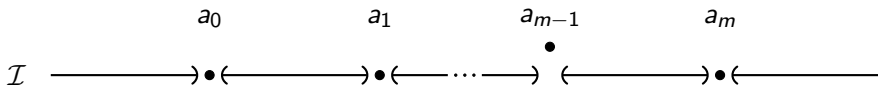


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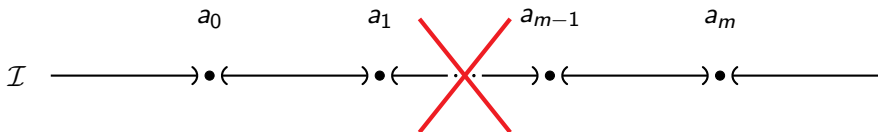
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# Base Change

Adding named parameters does not increase distality rank...

## Proposition

*If  $T$  is a complete theory and  $B \subseteq U$  is a small set of parameters, then  $\text{DR}(T_B) \leq \text{DR}(T)$ .*



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## Proposition

*If  $T$  is a complete theory and  $B \subseteq U$  is a small set of parameters, then  $\text{DR}(T_B) \leq \text{DR}(T)$ .*

If  $T$  is NIP, adding named parameters does not change distality rank...

## Base Change Theorem

*If  $T$  is NIP and  $B \subseteq U$  is a small set of parameters, then  $\text{DR}(T_B) = \text{DR}(T)$ .*



# Type Determinacy

Let  $n > m > 0$ .

## Definition

Given  $p \in S_A(x_0, \dots, x_{n-1})$ , we say that the  $n$ -type  $p$  is ***m-determined*** iff: it is completely determined by the  $m$ -types

$$\{q \in S_A(x_{i_0}, \dots, x_{i_{m-1}}) : q \subseteq p \text{ and } i_0 < \dots < i_{m-1} < n\}$$

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## Theorem

If  $T$  is  $m$ -distal, then for any  $n$  global invariant types

$$p_0(x_0), \dots, p_{n-1}(x_{n-1})$$

which commute pairwise, their product  $p_0 \otimes \dots \otimes p_{n-1}$  is  $m$ -determined.

**Furthermore**, if  $T$  is NIP, the converse holds as well.

# Relationship between $m$ -Distality and $m$ -Dependence

Shelah introduced  $m$ -dependence as a property of first-order theories (and formulae) which generalizes NIP:

- 1-dependence  $\iff$  NIP
- $m$ -dependence  $\implies$   $(m + 1)$ -dependence

New result courtesy of Artem Chernikov:

- $m$ -distality  $\implies$   $m$ -dependence

Conjecture:

- $m$ -distal regularity improves  $m$ -dependent regularity



# Thank You!

A link to the paper and a longer version of the slides can be found at my website...

<https://homepages.math.uic.edu/~roland/>

