

# (EXTRA)ORDINARY EQUIVALENCES WITH ADS

---

Marta Fiori Carones

(Joint work with Alberto Marcone, Paul Shafer and Giovanni Soldà)

## Theorem (Rival Sands)

Let  $(P, <_P)$  be an infinite poset of finite width. Then there exists an infinite chain  $C$  such that every element of  $P$  is comparable to none or to infinitely many elements of  $C$ .

Moreover, if  $P$  is countable,  $C$  may be chosen so that each  $p \in P$  is comparable to none or to cofinitely many elements of  $C$ .



I. Rival, B. Sands

On the adjacency of vertices to the vertices of an infinite subgraph.

J. London Math. Soc., 1980

A poset has finite width if there exists  $k \in \mathbb{N}$  such that each antichain in  $P$  has size at most  $k$

In order to analyse the previous theorem in reverse mathematics it is convenient to introduce these families of principles

$\text{RSpo}_k$

Each countable poset  $(P, <_P)$  of width  $k$  contains an infinite chain  $C$  such that every element in  $P$  is comparable to none or to infinitely many elements in  $C$ .

$\text{sRSpo}_k$

Each countable poset  $(P, <_P)$  of width  $k$  contains an infinite chain  $C$  such that every element in  $P$  is comparable to none or to cofinitely many elements in  $C$ .

# Ramsey-theoretic principle?

Three measures of proximity of Rival-Sands theorem and Ramsey's theorem

1. Rival and Sands present their theorems as trade-off of Ramsey's theorem for pairs  $RT_2^2$
2.  $sRSpo_k$  is a Ramsey-type statement
3. we prove some equivalences with ADS, the ascending/descending sequence principle, a consequence of Ramsey's theorem for pairs

# Ramsey-theoretic principle?

Three measures of proximity of Rival-Sands theorem and Ramsey's theorem

1. Rival and Sands present their theorems as trade-off of Ramsey's theorem for pairs  $RT_2^2$
2.  $sRSpo_k$  is a Ramsey-type statement
3. we prove some equivalences with ADS, the ascending/descending sequence principle, a consequence of Ramsey's theorem for pairs

# Ramsey-theoretic principle?

Three measures of proximity of Rival-Sands theorem and Ramsey's theorem

1. Rival and Sands present their theorems as trade-off of Ramsey's theorem for pairs  $RT_2^2$
2.  $sRSpo_k$  is a Ramsey-type statement
3. we prove some equivalences with ADS, the ascending/descending sequence principle, a consequence of Ramsey's theorem for pairs

# Ramsey-theoretic principle?

Three measures of proximity of Rival-Sands theorem and Ramsey's theorem

1. Rival and Sands present their theorems as trade-off of Ramsey's theorem for pairs  $RT_2^2$
2.  $sRSpo_k$  is a Ramsey-type statement
3. we prove some equivalences with ADS, the ascending/descending sequence principle, a consequence of Ramsey's theorem for pairs

$RT_2^2$ 

For each countable graph there exists an infinite subgraph  $H$  such that which is either complete or totally disconnected

- instances of  $RSpo_k$  and  $sRSpo_k$ , i.e. countable comparability graphs such that the size of their totally disconnected subgraphs is bounded by some  $k$ , form a subclass of instances of  $RT_2^2$

$RSpo_k$  is a (combinatorial) improvement of a more general statement proved by Rival and Sands in the same paper, whose instances are countable graphs

- solutions to  $RSpo_k$  and  $sRSpo_k$  form a subclass of the solutions of  $RT_2^2$  (even restricted to instances of  $RSpo_k$  and  $sRSpo_k$ )

solutions to  $RSpo_k$  and  $sRSpo_k$  give information about the adjacency relation between the inside and the outside of the solution. While solutions to  $RT_2^2$  carry information about the adjacency relation only of the inside



# Ramsey-theoretic principle?

Three measures of proximity of Rival-Sands theorem and Ramsey's theorem

1. Rival and Sands present their theorems as trade-off of Ramsey's theorem for pairs  $RT_2^2$
2.  $sRSpo_k$  is a Ramsey-type statement
3. we prove some equivalences with ADS, the ascending/descending sequence principle, a consequence of Ramsey's theorem for pairs

A statement of the form  $\forall G(\varphi(G) \Rightarrow \exists H\psi(G, H))$  is said to be of Ramsey-type when it has the following properties:

if  $\varphi(G)$  and  $\psi(G, H)$ , then  $H$  must be infinite,

if  $\varphi(G)$ ,  $\psi(G, H)$ , and  $H' \subseteq H$  is infinite, then also  $\psi(G, H')$ .

$\text{RSpo}_k$  is NOT in general a Ramsey-type principle.

$\text{sRSpo}_k$  is a Ramsey-type principle: let  $(P, <_P)$  be a poset of width  $k$ ,  $C$  a solution and  $D \subseteq C$  be infinite. If  $p \in P$  is comparable with only finitely many elements of  $D$ , then  $p$  is comparable with some elements of  $C$  and incomparable with infinitely elements of  $C$ , contrary to the fact that  $C$  is a solution.

$\text{sRSpo}_k$  | Each countable poset  $(P, <_P)$  of width  $k$  contains an infinite chain  $C$  such that every point in  $P$  is comparable to none or to CO-FINITELY many elements in  $C$ .

# Ramsey-theoretic principle?

Three measures of proximity of Rival-Sands theorem and Ramsey's theorem

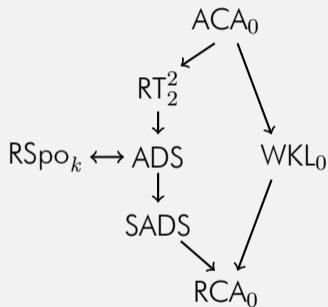
1. Rival and Sands present their theorems as trade-off of Ramsey's theorem for pairs  $RT_2^2$
2.  $sRSpo_k$  is a Ramsey-type statement
3. we prove some equivalences with ADS, the ascending/descending sequence principle, a consequence of Ramsey's theorem for pairs

ADS | Each countable linear order  $(L, <_L)$  contains an  $\omega$  or an  $\omega^*$  chain.

## Theorem

Over  $\text{RCA}_0$ , for each  $k \geq 3$ , ADS is equivalent to  $\text{RSpo}_k$

- $\text{RSpo}_k$  is the first theorem from ordinary mathematics proved to be equivalent to ADS
- our result improves considerably the upper bound,  $\Pi_1^1 - \text{CA}_0$ , given by the original proof of  $\text{RSpo}_k$
- we gave proofs of  $\text{RSpo}_k$  which are very different combinatorially from the original proof



# Key elements of the proof

## 1. Chain decomposition

Kierstead proved that for each  $k$  and for each computable poset  $(P, <_P)$  of width  $k$ , there exist  $h \leq 5^k$  and  $C_0, \dots, C_h$  computable chains such that  $P = \bigcup_{i < h} C_i$ . His proof can be formalised in  $\text{RCA}_0$

2. ADS is used only once at the very beginning of the proof to get an ascending or a descending chain  $A$
3. starting from  $A$ , searching iteratively witnesses of the fact that ascending or descending chains are not solutions to  $\text{RSp}_{k'}$ , we get a solution to  $\text{RSp}_k$

## Theorem

Over  $WKL_0$ , SADS is equivalent to  $R\text{Spo}_2$

$WKL_0$  is used in the proof only to decompose a poset of width two into two chains.

Hence, over  $RCA_0$ , SADS is equivalent to the following variant of  $R\text{Spo}_2$ ,  $R\text{Spo}_2^{\text{Dec}}$ :

for each countable poset  $(P, <_P)$  such that there exist two chains  $D$  and  $E$  such that  $P = D \cup E$ , there exists an infinite chain  $C$  such that every point in  $P$  is comparable to none or to infinitely many elements in  $C$ .

## Theorem

Over  $RCA_0$ , ADS is equivalent to  $sRSpO_2$

Thus,  $sRSpO_2$  is strictly stronger than  $RSpO_2^{Dec}$

## Theorem

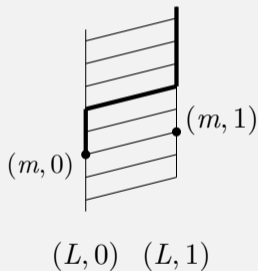
Over  $\text{RCA}_0$ ,  $\text{sRSpO}_2$  implies ADS

### Proof.

Let  $(L, \leq_L)$  be a linear order and let  $P = (L \times 2, \leq_P)$  the order on the Cartesian product of  $L$ , so that  $(\ell, i) \leq_P (m, j) \Leftrightarrow \ell \leq_L m \wedge i \leq j$ . Such a poset has clearly width two, so let  $C \subseteq P$  be a solution. For each  $i < 2$  set  $C_i = C \cap (L \times i)$ .

We claim that if  $C_0$  is infinite, then  $C_0$  has no minimum, and can thus be refined to a descending chain. Suppose on the contrary that  $C_0$  is infinite and that  $(m, 0)$  is minimum in  $C_0$ . By definition of  $<_P$  it holds that  $(m, 0) <_P (m, 1)$  and  $(n, 0) \mid_P (m, 1)$ , for each  $n >_L m$ . It follows that  $(m, 1)$  is incomparable with infinitely many elements of  $C$ , contrary to the assumption that  $C$  is a solution.

Similar reasoning allows us to prove that if  $C_1$  is infinite, then  $C_1$  has no maximum, and hence that  $L$  contains an ascending chain.  $\square$





# Counterexample to an $\omega$ chain

Let  $(P, <_P)$  be a poset of width two.

Let  $A$  be an  $\omega$  chain whose tails are not solutions.

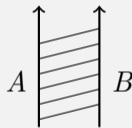
There exists an  $\omega$  chain  $B$  such that each  $b \in B$  is above some  $a \in A$  and incomparable with a tail of  $A$ .

- since  $P$  has width two it is enough to look at  $B = \langle b_n \mid n \in \mathbb{N} \rangle$  such that

$$\forall n \exists m > n \exists \ell > n (a_m <_P b_\ell \wedge a_{m+1} \mid_P b_\ell)$$

We call such  $B$  a counterexample to  $A$

- if  $P$  has width two there exists  $f: \mathbb{N} \rightarrow \mathbb{N}$  which enumerates  $B$ . This  $f$  allows to find counterexamples to ascending chains uniformly.



## Theorem

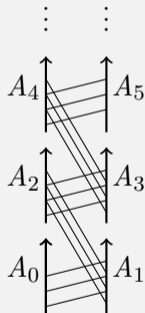
Over  $\text{RCA}_0$ ,  $\text{ADS}$  implies  $\text{sRSpO}_2$ .

### Idea of the proof.

Let  $(P, <_P)$  be a poset of width two. Assume that  $P$  does not have any solution to  $\text{sRSpO}_2$ . Assume  $A_0$  is an ascending chain in  $P$  (if  $A_0$  is descending consider  $(P, >_P)$ ).

Since  $A_0$  is not a solution, there exists a counterexample  $A_1$ . Since  $A_1$  is not a solution, so there exists a counterexample  $A_2$ . And so on. We thus get a sequence  $\langle A_n \mid n \in \mathbb{N} \rangle$  of ascending chains as in the picture. To make sure the sequence itself exists in  $\text{RCA}_0$  we define a uniform procedure to define it. To this end it is crucial that for each  $n$  and  $m$  the  $m^{\text{th}}$  element of  $A_n$  can be chosen after inspecting only initials segments of  $A_0, \dots, A_{n-1}$ .

Let  $S$  be an ascending chain with one element from each  $A_n$ . Then  $S$  is a solution: each element of  $P$  is comparable with co-finitely many elements of  $S$ . □



## Question

What is the strength of  $\text{sRSpo}_k$  for  $k > 2$ ?

$\Pi_1^1 - \text{CA}_0$  is the upper bound and ADS the lower bound.

The proof for  $\text{sRSpo}_2$  in ADS exploits crucially the fact that the poset has width two